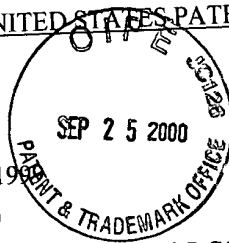


# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: BESSE ET AL.  
 Serial No.: 09/227,593  
 Filed: JANUARY 8, 1998  
 CPA Filed: JUNE 30, 2000  
 Title: ANTIMICROBIAL, BEVERAGE COMPATIBLE CONVEYOR LUBRICANT



Examiner: C. TOOMER  
 Group Art Unit: 1721  
 Docket: 163.1300US01

1721  
 1714

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on September 15, 2000.

By: *Patricia Cygan*  
 Patricia Cygan

Assistant Commissioner for Patents  
 Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Preliminary Amendment
- The fee has been calculated as shown below in the "Claims as Amended" table
- ☒ Other: Exhibit A and Exhibit B
- ☒ Check in the amount of \$864.00 for additional claims fee
- ☒ Return postcard

## CLAIMS AS AMENDED

Claims Remaining After Amendment	Highest Number Previously Paid For	Present Extra	Rate	Fee
Total Claims				
78	30	= 48	x 18.00	= \$864.00
Independent Claims				
6	6	= 0	x 78.00	= \$0.00
MULTIPLE DEPENDENT CLAIM FEE				\$64.00
TOTAL FILING FEE				

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

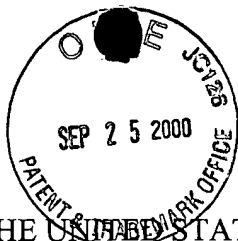
MERCHANT & GOULD P.C.  
 P.O. Box 2903, Minneapolis, MN 55402-0903  
 612.332.5300

By: *Mark T. Skoog*  
 Name: Mark T. Skoog  
 Reg. No.: 40,178  
 MTS:PSTpmc



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S/N 09/227,593



February PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: BESSE ET AL. Examiner: C. TOOMER  
Serial No.: 09/227,593 Group Art Unit: 1721  
Filed: JANUARY 8, 1999 Docket No.: 163.1300US01  
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By:

Name:

*Patricia Cygan*  
*Patricia Cygan*

PRELIMINARY AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231



Dear Sir:

In response to the Office Action mailed February 15, 2000 in the parent case, Applicants respectfully request entry of the following amendments and consideration of the remarks presented below.

09/27/2000 EXGROWA 00000012 09227593

01 FC:103

09/27/00

In the Specification

Please amend the specification as follows.

At page 9, line 14, please add:

-- The lubricant of the present invention can have the alkyl phosphate ester and aryl phosphate ester present in a weight to weight ratio of 1.5:1 to 10.0:1 with respect to the quaternary ammonium antimicrobial agent. In another embodiment, the lubricant can include a

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